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Date of Deposit

Joseph F. Hetz, Esq. - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

Signature

Case No. 10519/34

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CHRISTOPHER S. MOORE ET AL.

Serial No: 09/878,138

Examiner: Not Yet Assigned

Filed: June 8, 2001

Group Art Unit: 2818

For: METHOD FOR READING DATA IN  
A WRITE-ONCE MEMORY  
DEVICE USING A WRITE-MANY  
FILE SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted dated August 13, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:



Fully executed Declaration for Patent Application (2 pages), Assignment (7 pages) and Power of Attorney (1 page) for the above-referenced patent application.

☒ Applicant is:

☐ a small entity, verified statement is:

☐ attached

☐ already filed

☒ other than small entity

☒ 1 Check totaling \$3,804.00 for:

☒ Filing Fee of \$710.00.

☒ Surcharge of \$130.00.

☒ Additional Claim Fees of \$2,964.00.

☒ 1 Check totaling \$40.00 for:


☒ Assignment Recordation Fee of \$40.00.

☒ Other: Information Disclosure Statement (2 pages); Form PTO-1449 (3 pages); 8 U.S. References; 1 Foreign Reference; 32 Other Art References; Substitute Drawings (9 Sheets); Postcard Receipt.

☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: September 28, 2001

  
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Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/878,138	06/08/2001	Christopher S. Moore	10519/34

U. S. GOVERNMENT

## FORMALITIES LETTER



Date Mailed: 08/13/2001

**Filing Date Granted**

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2964.
  - **\$2214** for **123** total claims over 20.
  - **\$480** for **6** independent claims over 3 .
  - **\$270** for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3804.**

- Substitute drawings in compliance with 37 CFR 1.84 because.
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

*m*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FOOT" 8ETB/B50